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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/809,718
Filing Date	March 15, 2001
First Named Inventor	Frederick M. Espiau
Title	Plasma Lamp...Dielectric Waveguide
Group Art Unit	2821
Examiner Name	David H. Vu
Attorney Docket Number	PLASLAMP-01

I hereby appoint:

☐ Practitioners at Customer Number →

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Number Bar Code
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☒ Practitioner(s) named below:

Name	Registration Number
EDWARD GRAY	35,166

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

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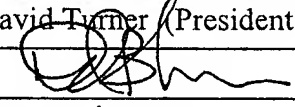
<input checked="" type="checkbox"/> Firm or Individual Name	EDWARD GRAY				
Address	P.O. BOX 66629				
Address					
City	MAR VISTA	State	CA	Zip	90066-0629
Country	USA				
Telephone	(310) 398-4504	Fax	(310) 572-6093		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	David Turner (President, Luxim Corporation)
Signature <input checked="" type="checkbox"/>	
Date <input checked="" type="checkbox"/>	August 17, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Please type a plus sign (+) inside this box → ☐

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PTO/SB/82 (10-00)

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REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/809,718
Filing Date	March 15, 2001
First Named Inventor	Frederick M. Espiau
Group Art Unit	2821
Examiner Name	David H. Vu
Attorney Docket Number	PLASLAMP-01

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

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☐ Firm or
Individual Name

Address

Address

City

Country

State

ZIP

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

David Turner (President, Luxim Corporation)

Signature

X

Date

X

August 17, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/96 (08-00)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Frederick M. Espiau et al.

Application No./Patent No.: 09/809,718 Filed/Issue Date: March 15, 2001

Entitled: Plasma Lamp With Dielectric Waveguide

Luxim Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011750, Frame 0264, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

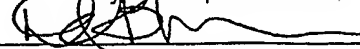
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X August 17, 2002
Date

David Turner

Typed or printed name

X 
Signature

President

Title

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**DECLARATION
Utility Application**

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PLASMA LAMP WITH DIELECTRIC WAVEGUIDE** the specification of which

(Check One) ☐ is attached hereto OR
☒ was filed on March 15, 2001 as _____ or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date
60/222,028	July 31, 2000

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned

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Lyon & Lyon LLP
Docket Information
259/014

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name Frederick	MIDDLE Initial M.	LAST Name Espiau	
	RESIDENCE & CITIZENSHIP	City Topanga	State or Foreign Country CA		Country of Citizenship USA
	POST OFFICE ADDRESS	21549 Summit Trail	City Topanga	State or Country CA	Zip Code 90290
INVENTOR'S SIGNATURE <u>Frederick M Espiau</u> DATE <u>3/28/01</u>					

202	FULL NAME OF INVENTOR	FIRST Name Chandrashekhar	MIDDLE Initial J.	LAST Name Joshi	
	RESIDENCE & CITIZENSHIP	City Santa Monica	State or Foreign Country CA		Country of Citizenship USA
	POST OFFICE ADDRESS	2004 Pier Ave.	City Santa Monica	State or Country CA	Zip Code 90405
INVENTOR'S SIGNATURE <u>Chandrashekhar Joshi</u> DATE <u>3/28/01</u>					

203	FULL NAME OF INVENTOR	FIRST Name Yian	MIDDLE Initial	LAST Name Chang	
	RESIDENCE & CITIZENSHIP	City Los Angeles	State or Foreign Country CA		Country of Citizenship Taiwan
	POST OFFICE ADDRESS	3219 Overland Ave., #9193	City Los Angeles	State or Country CA	Zip Code 90034
INVENTOR'S SIGNATURE <u>Yian Chang</u> DATE <u>3/28/01</u>					

204	FULL NAME OF INVENTOR	FIRST Name	MIDDLE Initial	LAST Name	
	RESIDENCE & CITIZENSHIP	City	State or Foreign Country		Country of Citizenship
	POST OFFICE ADDRESS		City	State or Country	Zip Code
INVENTOR'S SIGNATURE _____ DATE _____					

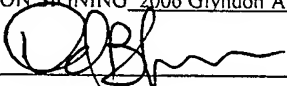
205	FULL NAME OF INVENTOR	FIRST Name	MIDDLE Initial	LAST Name	
	RESIDENCE & CITIZENSHIP	City	State or Foreign Country		Country of Citizenship
	POST OFFICE ADDRESS		City	State or Country	Zip Code
INVENTOR'S SIGNATURE _____ DATE _____					

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PTO/SB/10 (1-99)

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN	Docket Number: <u>259/014</u>
Applicant, Patentee, or Identifier: <u>Espiau, Frederick M.; Joshi, Chandrashekhar; Chang, Yian</u>	
Application or Patent No.: _____	
Filed or Issued: <u>March 15, 2001</u>	
Title: <u>Plasma Lamp With Dielectric Waveguide</u>	
I hereby state that I am <div style="margin-left: 20px;"> <input type="checkbox"/> The owner of the small business concern identified below: <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below: </div>	
NAME OF SMALL BUSINESS CONCERN <u>Luxim Corporation</u>	
ADDRESS OF SMALL BUSINESS CONCERN <u>2006 Glyndon Avenue, Venice, CA 90291</u>	
<p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.</p> <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <div style="margin-left: 20px;"> <input type="checkbox"/> the specification filed herewith with title as listed above. <input checked="" type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above. </div> <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization having any rights in the invention is listed below:</p> <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern, or organization is listed below. </div> <p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p>	
NAME OF PERSON SIGNING <u>David Turner</u>	
TITLE OF PERSON IF OTHER THAN OWNER <u>President</u>	
ADDRESS OF PERSON SIGNING <u>2006 Glyndon Avenue, Venice, CA 90291</u>	
SIGNATURE <u></u>	DATE <u>3/28/01</u>

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